

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

20334.1

First Inventor

Jesse Taylor

Title

System and Method for Security
and File Retrieval From Remote
Computer

Express Mail Label No.

EV 319088078 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☒ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Check for \$1,003.00; Postcard
CD-Rom Transmittal Letter

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



Customer Number:

OR



Correspondence address below

Name

ARNALL GOLDEN GREGORY LLP

Address

1201 West Peachtree Street

City

Atlanta

State

GA

Zip Code

30309

Country

USA

Telephone

(404) 873-8734

Fax

(404) 873-8735

Name (Print/Type)

Li K. Wang

Registration No. (Attorney/Agent)

44,393

Signature

Date

3/15/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,003.00

Complete if Known

Application Number Unassigned
Filing Date 3/15/04
First Named Inventor Jesse Taylor
Examiner Name Unassigned
Art Unit Unassigned
Attorney Docket No. 20334.1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 502666
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
60	-20** = 40	x 9	= 360.00
Independent Claims 9	-3** = 6	x 43	= 258.00
Multiple Dependent		0	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 618.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Li K. Wang Registration No. 44,393 Telephone (404)873-8734
Signature Date 3/15/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Jesse Taylor
	Title	System and Method for Security and File Retrieval From Remote Computer	
	Attorney Docket Number		20334.1

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

03/15/04
Date


Signature

770-781-8906
Telephone number

Jesse Taylor
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1438, Alexandria, VA 22315-1438. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-0100 (1-800-786-0100) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to:

Express Mail NO. EV 319088078 US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on 3/ 15/2004
Date



Signature

Lucille Golden-Blakey

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: **Jesse Taylor**

Application Serial No.: [Not yet Assigned]

Filed:

For: **System and Method for Security and File Retrieval From Remote Computer**

Attorney Docket No.: **20334.1**

CD-ROM TRANSMITTAL LETTER

Two identical copies of a CD-ROM Appendix, including the source code for an exemplary commercial embodiment of the System and Method for Security and File Retrieval From Remote Computer, submitted concurrently with the above-referenced patent application accordance with 37 CFR § 1.52. The codes are developed using Delphi™ from Borland Software Corporation. The source codes are in files with “.pas” extension and can be viewed by an ASCII reader such as Notepad or Wordpad for Microsoft. The compiled code will run on a MS-DOS or Windows operating system on a PC-based hardware platform.

The Appendix comprises a single CD-ROM that includes 68 files that were created on date listed, and of the size and name as follows:

<u>Date of Creation</u>	<u>File Size(KB)</u>	<u>File Name</u>
1/19/2004	1,400	\backwat Compiled\ bwadminFINAL.exe
1/19/04	2,703	\backwat Compiled\ Install.zip (Compressed)
1/19/04	1,308	\backwat Compiled\ StartupRunFINAL.exe
1/17/04	885	\backwat Compiled\ StatusCheckFINAL.exe
12/16/03	1	\backwat Administration\ bwadmin.dpr
1/19/04	1	\backwat Administration\ bwadmin.cfg
1/19/04	3	\backwat Administration\ bwadmin.dof
12/16/03	1	\backwat Administration\ bwadmin.dpr
1/19/04	1,400	\backwat Administration\ bwadmin.exe
12/16/03	3	\backwat Administration\ bwadmin.res
8/18/02	3	\backwat Administration\ key-bk.ico
1/15/04	1	\backwat Administration\ Unit1.ddp
1/15/04	209	\backwat Administration\ Unit1.dfm
1/15/04	14	\backwat Administration\ Unit1.pas
1/18/04	22	\backwat Administration\ Unit1.dcu
1/19/04	1	\backwat Administration\ Unit1.ddp
1/18/04	209	\backwat Administration\ Unit1.dfm
1/18/04	14	\backwatch Administration\ Unit1.pas
1/15/04	29	\backwatch Images\ gensec465x257eO.jpg
1/19/04	32	\backwatch Images\ gensec573x213.jpg
1/17/04	19	\backwatch Images\ gensec581x85.jpg

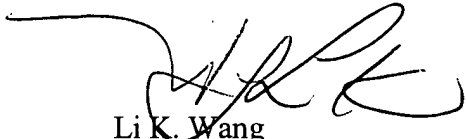
Attorney Docket No. 20334.1

<u>Date of Creation</u>	<u>File Size(KB)</u>	<u>Folder/File Name</u>
1/17/04	19	\backwatch Images\ gensec581x85Gr.jpg
1/15/04	26	\backwatch Images\ logo_473+73.jpg
1/15/04	25	\backwatch Images\ logo_473+73_option2.jpg
1/15/04	61	\backwatch Images\ logo_573+213.jpg
1/15/04	62	\backwatch Images\ logo_573+213whitebg.jpg
10/16/02	5	\backwatch Install\ 01setup1-ico
8/20/02	166	\backwatch Install\ brcc32.exe
12/16/03	5,179	\backwatch Install\ bwInstall\ .exe
1/19/04	1	\backwatch Install\ Install\ .rc
1/19/04	3,593	\backwatch Install\ Install\ .RES
1/19/04	5,227	\backwatch Install\ Install\FINAL.exe
12/15/03	1	\backwatch Install\ Project1.dpr
1/20/04	1	\backwatch Install\ Project1.cfg
1/20/04	3	\backwatch Install\ Project1.dof
12/15/03	1	\backwatch Install\ Project1.dpr
1/20/04	5,228	\backwatch Install\ Project1.exe
12/16/03	5	\backwatch Install\ Project1.res
1/19/04	1,308	\backwatch Install\ StartupRunFINAL.exe
1/17/04	885	\backwatch Install\ StatusCheckFINAL.exe
1/17/04	1	\backwatch Install\ Unit1.ddp
1/17/04	437	\backwatch Install\ Unit1.dfm
1/17/04	17	\backwatch Install\ Unit1.pas
1/20/04	23	\backwatch Install\ Unit1.dcu
1/20/04	1	\backwatch Install\ Unit1.ddp
12/15/03	21	\backwatch Server Side\ Registration.doc
12/17/04	1	\backwatch Server Side\ Registration.php
12/15/03	20	\backwatch Server Side\ Statuscheck.doc
12/26/03	1	\backwatch Server Side\ Statuscheck.php
12/15/03	21	\backwatch Server Side\ Update.doc
1/18/04	1	\backwatch Server Side\ Update.php
8/20/02	166	\backwatch Startup\ brcc32.exe
12/15/03	1	\backwatch Startup\ StartupRun.dpr
1/19/04	1	\backwatch Startup\ StartupRun.cfg
1/19/04	3	\backwatch Startup\ StartupRun.dof
12/15/03	1	\backwatch Startup\ StartupRun.dpr
1/19/04	1,308	\backwatch Startup\ StartupRun.exe
1/19/04	3	\backwatch Startup\ StartupRun.res
1/19/04	885	\backwatch Startup\ stat.res
1/19/04	1	\backwatch Startup\ StatusCheck.rc
1/17/04	885	\backwatch Startup\ StatusCheckFINAL.exe
1/19/04	1	\backwatch Startup\ Unit1.ddp
1/19/04	2	\backwatch Startup\ Unit1.dfm
12/16/04	4	\backwatch Startup\ Unit1.pas

<u>Date of Creation</u>	<u>File Size(KB)</u>	<u>Folder/File Name</u>
1/19/04	8	\backwatch Startup\ Unit1.dcu
1/19/04	1	\backwatch Startup\ Unit1.ddp
1/19/04	2	\backwatch Startup\ Unit1.dfm
1/19/04	4	\backwatch Startup\ Unit1.pas
12/15/03	1	\backwatch Status Check\Project1.dpr
1/22/04	1	\backwatch Status Check\Project1.cfg
1/22/04	3	\backwatch Status Check\Project1.dof
1/17/04	1	\backwatch Status Check\Project1.dpr
12/27/03	38	\backwatch Status Check\Project1.drc
12/11/03	2	\backwatch Status Check\Project1.mes
12/26/03	3	\backwatch Status Check\Project1.res
1/17/04	885	\backwatch Status Check\StatusCheckFINAL.exe
1/17/04	1	\backwatch Status Check\Unit1.ddp
1/17/04	7	\backwatch Status Check\Unit1.dfm
1/17/04	9	\backwatch Status Check\Unit1.pas
1/17/04	15	\backwatch Status Check\Unit1.dcu
1/22/04	1	\backwatch Status Check\Unit1.ddp
1/20/04	7	\backwatch Status Check\Unit1.dfm
1/20/04	9	\backwatch Status Check\Unit1.pas

Entry of the CD ROM Appendix into the file of the above patent application is requested, and examination of the above application at an early date is solicited.

Respectfully submitted,


Li K. Wang
Reg. No. 44,393

Dated: 3/12/04

Arnall Golden Gregory LLP
Attorneys for Applicant
1201 West Peachtree Street
Suite 2800
Atlanta, GA 30309-3450
Telephone: (404) 873-8734
Facsimile: (404) 873-8735

Attorney Docket No. 20334.1